

PART 01

# Introduction of CSIPF and Main Practice of Protection for Investors

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中国证券投资者保护基金有限责任公司  
CHINA SECURITIES INVESTOR PROTECTION FUND CORPORATION LIMITED

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**About CSIPF**

**Main Practice  
of Protection  
for Investors**



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## About CSIPF



- A wholly **state-owned** company set up in 2005
- Registered capital of around **\$1 billion** US dollars
- Report to **the CSRC** (China Securities Regulatory Commission)
- Protecting the legitimate rights and interests of securities investors



## About CSIPF



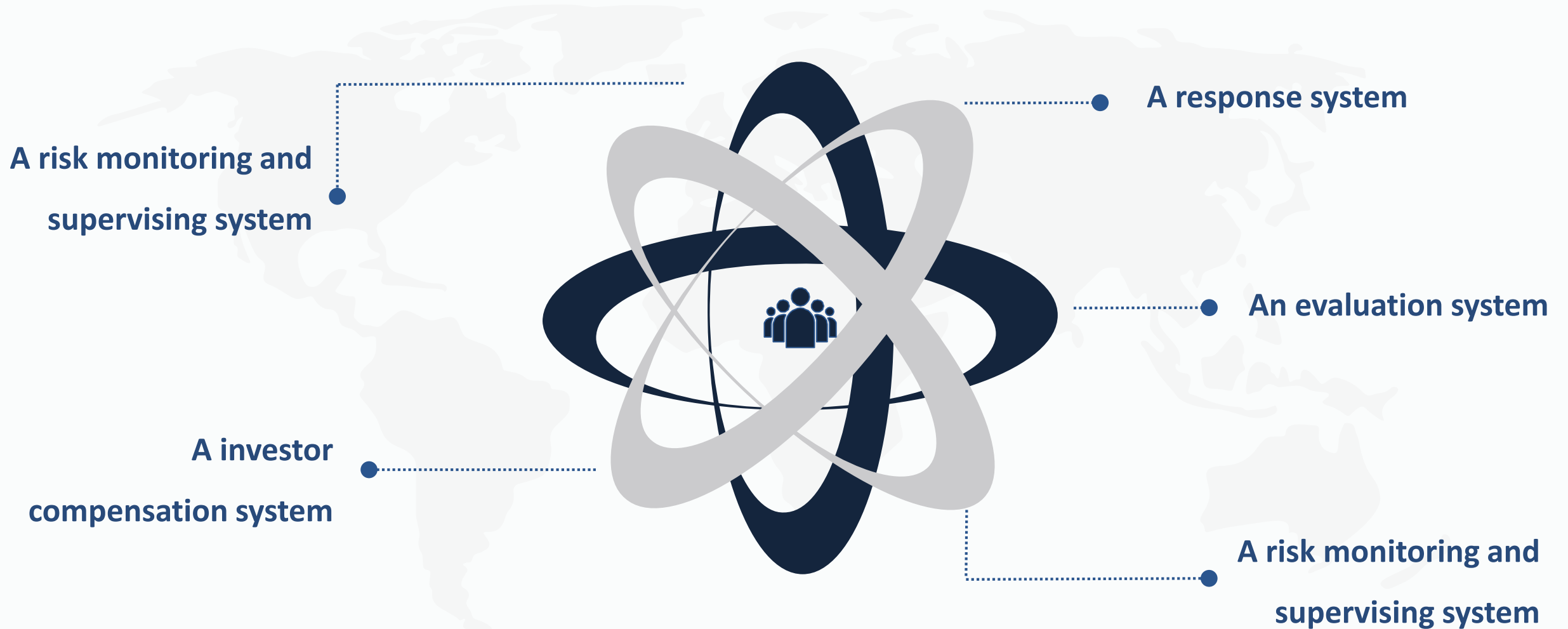
▲ Manage a securities investor protection fund;

▲ Monitor risks of securities companies and to indemnify creditors;

▲ Indemnify investors' losses;



# The Main Business of CSIPF



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# A Response System for Investors' Appeals

## Transaction Settlement Fund Monitoring System for Securities Market

Our company established and operated 12386 investor hotline system to receive and handle investor complaints, suggestions and consultations through 12386 hotline and related network channels for investors in the securities and futures market nationwide.



- ◆ Handled **390,000** investor appeals
- ◆ recovered **44 million** yuan of losses for investors
- ◆ received **700** letters of thanks from investors

*Call 12386 for any questions* has become the consensus of investors.

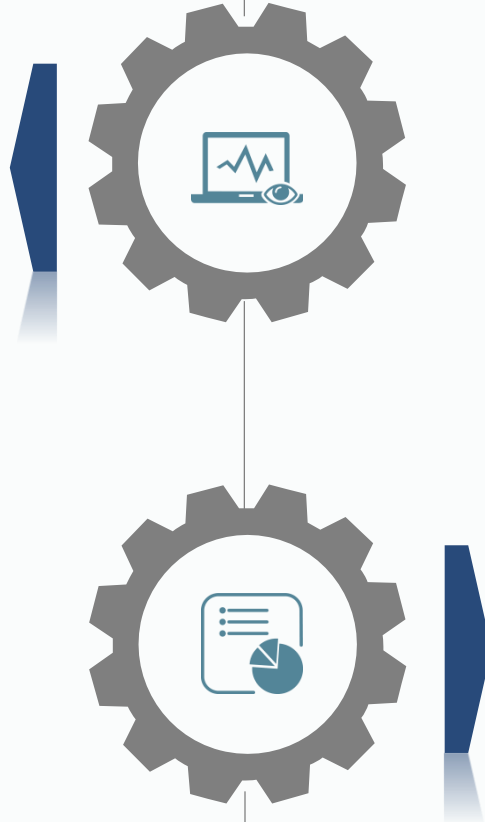




## A Response System for Investors' Appeals

We also set up a multi-subject investigative platform covering the securities market.

The investor confidence index compiled on the basis of the survey results has become a confidence index system with the characteristics of capital market, which has been adopted and used by authoritative institutions for many times, and is often publicized by authoritative media in China.



- ◆ A sample bank covering more than **11,000** investors
- ◆ Securities business institutions has been built
- ◆ **180** surveys have been carried out



## An evaluation system for investor protection

### the White Paper on the Protection of Investors in China's Capital Market

It collects a large number of subjective and objective data, involving more than **160** evaluation indicators, draws more than **70** evaluation conclusions and puts forward **30** suggestions for work.



We also carry out the evaluation of the protection status of different entities and different levels of investors in the capital market, and establish an evaluation system combining the overall evaluation, the categorical classification and the non-scheduled continuous evaluation.



# A system of multi-investor's compensation for loss



The national fund



The "Special Compensation Fund"



Reconciliation payments management



## A system of multi-investor's compensation for loss



### A new mediation model with Chinese characteristics

- ◆ We have taken advantage of many years' **technical experience** and **talent advantages** in the calculation of investors' compensation, created a characteristic mode of securities dispute mediation based on the multi-level investors' compensation system.
- ◆ Creatively set up a "**co-management compensation account**" to expediate the successful mediation of the cases.

**Thank you!**

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